

10/530774

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

Rec PCT/PTO 07 APR 2005

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
22 April 2004 (22.04.2004)

PCT

(10) International Publication Number
WO 2004/033639 A3

- (51) International Patent Classification⁷: **A61K 39/245**, 39/12
- (74) Agent: **WRONA, Thomas, J.**; Marshall, Gerstein & Borun LLP, 6300 Sears Tower, 233 South Wacker Drive, Chicago, IL 60606 (US).
- (21) International Application Number: **PCT/US2003/031598**
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (22) International Filing Date: 6 October 2003 (06.10.2003)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 60/416,716 7 October 2002 (07.10.2002) US
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- (71) Applicant (*for all designated States except US*): **UNIVERSITY OF CHICAGO** [US/US]; 5640 South Ellis Avenue, Suite 405, Chicago, IL 60637 (US).
- (71) Applicant and
- (72) Inventor: **ZHOU, Gouying** [CN/US]; 5255 S. Blackstone Avenue, Apt. 2S, Chicago, IL 60637 (US).
- Published:
— with international search report
- (72) Inventors; and
- (75) Inventors/Applicants (*for US only*): **ROIZMAN, Bernard** [US/US]; 5555 South Everett, Chicago, IL 60637 (US). **YE, Guo, Jie** [—/US]; 10912 Creekbridge Place, San Diego, CA 92128 (US).
- (88) Date of publication of the international search report:
12 August 2004
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: **TARGETING OF HERPES SIMPLEX VIRUS TO SPECIFIC RECEPTORS**

(57) Abstract: The invention relates to engineered Herpes simplex virus (HSV) particles that are targeted to one or more specific receptors. Also, recombinant vectors for producing such HSV particles. By reducing the affinity of HSV for its natural receptor(s) and increasing the affinity for a selected receptor, the HSV particles of the invention may be used for targeting cells that express the selected receptor. The ability to selectively target cells renders the HSV particles particularly useful in selectively killing the selected receptor-bearing cells (such as tumor cells), imaging cells bearing the selected receptor, and providing gene replacement therapy to cells bearing the selected receptor.



WO 2004/033639 A3

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/31598

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A61K 39/245, 39/12

US CL : 424/229.1, 204.1

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/229.1, 204.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
Please See Continuation Sheet**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,328,688 A (ROIZMAN) 12 July 1994 (12.07.1994), see the claims.	1-49
Y	DEBINSKI et al. Receptor for Interleukin 13 Is a Marker and Therapeutic Target for Human High-Grade Gliomas. Clinical Cancer Research. May 1999, Vol. 5, pages 985-990, see the abstract.	1-49
Y	SPEAR et al. Three Classes of Cell Surface Receptors for Alphaherpesvirus Entry. Virology. September 2000, Vol. 275, pages 1-8, see entire document.	1-49
Y	ZHOU et al. Cation-Independent Mannose 6-Phosphate Receptor Blocks Apoptosis Induced by Herpes Simplex Virus 1 Mutants Lacking Glycoprotein D and Is Likely the Target of Antiapoptotic Activity of the Glycoprotein. Journal of Virology. June 2002, Vol. 76, No. 12, pages 6197-6204, see the entire document.	1-49
Y	LAQUERRE et al. Heparan Sulfate Proteoglycan Binding by Herpes Simplex Virus Type 1 Glycoproteins B and C, Which Differ in Their Contributions to Virus Attachment, Penetration, and Cell-to-Cell Spread. Journal of Virology. July 1998, Vol. 72, No. 7, pages 6119-6130, see the abstract.	1-49



Further documents are listed in the continuation of Box C.



See patent family annex.

*** Special categories of cited documents:**

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier application or patent published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T"

later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X"

document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y"

document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&"

document member of the same patent family

Date of the actual completion of the international search

27 February 2004 (27.02.2004)

Date of mailing of the international search report

09 APR 2004

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Facsimile No. (703)305-3230

Authorized officer

A. R. Salami

Telephone No. (703) 308-0196

BEST AVAILABLE COPY

INTERNATIONAL SEARCH REPORT

PCT/US03/31598

C. (Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	RUX et al. Functional Region IV of Glycoprotein D from Herpes Simplex Virus Modulates Glycoprotein Binding to the Herpesvirus Entry Mediator. Journal of Virology. September 1998, Vol. 72, No. 9, pages 7091-7098, see the abstract.	1-49
Y	ARSENAKIS et al. Expression and Regulation of Glycoprotein C Gene of Herpes Simplex Virus 1 Resident in a Clonal L-Cell Line. Journal of Virology. May 1986, Vol. 58, No. 2, pages 367-376, see the abstract.	1-49
Y	DE VIRES et al. Scintigraphic Imaging of HSVtk Gene Therapy. Current Pharmaceutical Design. 2002, Vol. 8, pages 1435-1450, see the abstract.	1-49

Form PCT/ISA/210 (second sheet) (July 1998)

BEST AVAILABLE COPY

INTERNATIONAL SEARCH REPORT

PCT/US03/31598

Continuation of B. FIELDS SEARCHED Item 3:

MEDLINE, SCISEARCH, CAPLUS, WEST, DERWENT, JPA, EPA, NPL

search terms: herpesvirus, HSV, IL13, gD, gC, HveC, HvA, ligand